

Glueing Booleans for Greater Extensionality

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GdT LHC

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Strong eta-rules for functions on sum types

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I am wondering whether a rule like the following is consistent with decidable conversion and type-checking for dependent type theory:

8



$$\frac{f g : (x : \mathbf{bool}) \rightarrow C \quad x \quad f \mathbf{tt} \equiv g \mathbf{tt} \quad f \mathbf{ff} \equiv g \mathbf{ff}}{f \equiv g}$$



That is, if two functions with domain `bool` agree definitionally on `tt` and `ff`, then they are convertible. An analogous rule for functions on general inductive types like \mathbb{N} is certainly

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Context: Martin-Löf Type Theories (with $\mathbf{T}y, \Pi, \Sigma, x =_A y, \dots$)

Extensional principles in intensional type theory

Type formers	Dec. of conv.	Reference
Functions $\Pi(x : A)B$	✓	[COQUAND 96]
(Negative) records $\Sigma(x : A)B$	✓	[NORELL 07]
Unit $\mathbb{1}$	✓	[NORELL 07]
Identity $x =_A y$	×	[CASTELLAN ET AL. 17]
Natural numbers \mathbb{N}	×	[REFNEC]
Well-founded trees $\mathbb{W}(x : A)B$	×	Reduction to \mathbb{N}
Streams, \mathbb{M} -types	×	[MCBRIDE'S RIPLEY]
Empty $\mathbb{0}$	×	[MCBRIDE'S RIPLEY]
Booleans \mathbb{B}	???	

Introductions

$$\overline{\Gamma \vdash \mathbb{B}} \quad \overline{\Gamma \vdash \mathbf{tt} : \mathbb{B}} \quad \overline{\Gamma \vdash \mathbf{ff} : \mathbb{B}}$$

Simple elimination

$$\frac{\Gamma \vdash b : \mathbb{B} \quad \Gamma \vdash t : C \quad \Gamma \vdash u : C}{\Gamma \vdash \mathbf{if } b \mathbf{ then } t \mathbf{ else } u : C}$$

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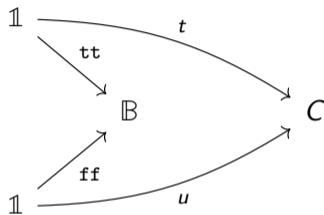
Computation rules

$$\mathbf{if } \mathbf{tt} \mathbf{ then } t \mathbf{ else } u \longrightarrow t \quad \mathbf{if } \mathbf{ff} \mathbf{ then } t \mathbf{ else } u \longrightarrow u$$

What's boolean extensionality ?

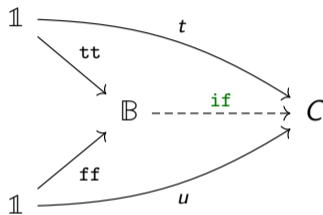
4

Returning to the categorical universal property of $\mathbb{B} \cong \mathbb{1} + \mathbb{1}$



What's boolean extensionality ?

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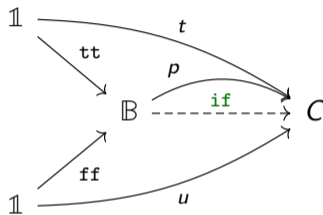


Existence part:

$$if(t, u) \circ tt \equiv t \quad if(t, u) \circ ff \equiv u$$

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Returning to the categorical universal property of $\mathbb{B} \cong \mathbb{1} + \mathbb{1}$



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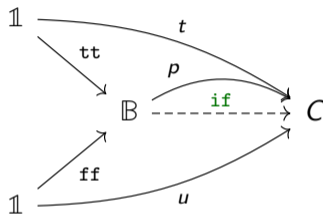
$$if(t, u) \circ tt \equiv t \quad if(t, u) \circ ff \equiv u$$

Unicity part:

$$p : \mathbb{B} \rightarrow C$$

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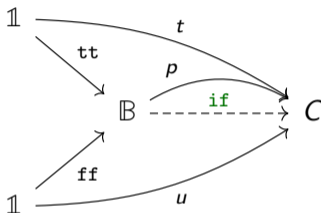
$$if(t, u) \circ tt \equiv t \quad if(t, u) \circ ff \equiv u$$

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Existence part:

$$if(t, u) \circ tt \equiv t \quad if(t, u) \circ ff \equiv u$$

Unicity part:

$$\frac{p : \mathbb{B} \rightarrow C \quad p \circ tt \equiv t \quad p \circ ff \equiv u}{p \equiv if(t, u) : C}$$

What's boolean extensionality in Type Theory ?

Uniqueness categorically

$$\frac{p : \mathbb{B} \rightarrow C \quad p \circ \text{tt} \equiv t \quad p \circ \text{ff} \equiv u}{p \equiv \text{if}(t, u) : C}$$

Uniqueness type-theoretically

What's boolean extensionality in Type Theory ?

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Uniqueness type-theoretically

$$\frac{\Gamma, b : \mathbb{B} \vdash p : C}{\quad}$$

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Uniqueness type-theoretically

$$\frac{\Gamma, b : \mathbb{B} \vdash p : C \quad \Gamma \vdash p[\text{tt}/b] \equiv t : C}{}$$

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Booleans, Dependently

Dependent elimination

$$\frac{\Gamma \vdash b : \mathbb{B} \quad \Gamma, x : \mathbb{B} \vdash P$$
$$\Gamma \vdash t : P[\text{tt}/x] \quad \Gamma \vdash u : P[\text{ff}/x]}{\Gamma \vdash \text{ind}(x.P; b; t \mid u) : P[b/x]}$$

Booleans, Dependently

Dependent elimination

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Computation rules

$$\mathbf{ind}(x.P; \text{tt}; t \mid u) \longrightarrow t$$

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Extensionality (Naively)

$$\frac{\Gamma, x : \mathbb{B} \vdash P \quad \Gamma \vdash b : \mathbb{B} \quad \Gamma \vdash p : P[b/x]}{\quad}$$

Booleans, Dependently

Dependent elimination

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With type dependency, substitution is not enough

7

Assuming $\alpha : \mathbb{N} \rightarrow \mathbb{B} \in \Gamma$, consider

$\Gamma \vdash \text{ind}(b.\forall n, \alpha n = b \rightarrow \mathbb{N}; \alpha 42; \lambda n \text{ eq. } 0 \mid \lambda n \text{ eq. } 0) 42 \text{ refl} : \mathbb{N}$

where $\Gamma \vdash \text{refl} : \alpha 42 = \alpha 42$

With type dependency, substitution is not enough

Assuming $\alpha : \mathbb{N} \rightarrow \mathbb{B} \in \Gamma$, consider

$$\Gamma \vdash \text{ind}(b.\forall n, \alpha n = b \rightarrow \mathbb{N}; \alpha \ 42; \lambda n \text{ eq. } 0 \mid \lambda n \text{ eq. } 0) \ 42 \ \text{refl} : \mathbb{N}$$

where $\Gamma \vdash \text{refl} : \alpha \ 42 = \alpha \ 42$

But substituting $\alpha \ 42$ by tt is ill-typed:

$$\Gamma \not\vdash \text{ind}(b.\forall n, \alpha n = b \rightarrow \mathbb{N}; \text{tt}; \lambda n \text{ eq. } 0 \mid \lambda n \text{ eq. } 0) \ 42 \ \text{refl} : \mathbb{N}$$

where $\Gamma \not\vdash \text{refl} : \alpha \ 42 = \text{tt}$

Need to keep track of **convertibility relations** at \mathbb{B} !

Add boolean constraints [ALTENKIRCH, DYBJER, HOFFMAN & SCOTT, 2001]

$$\frac{\Gamma \vdash \quad \Gamma \vdash b : \mathbb{B} \quad v \in \{\mathbf{tt}, \mathbf{ff}\}}{\Gamma, b \equiv v \vdash}$$

Reflecting conversion at \mathbb{B}

Add boolean constraints [ALTENKIRCH, DYBJER, HOFFMAN & SCOTT, 2001]

$$\frac{\Gamma \vdash \quad \Gamma \vdash b : \mathbb{B} \quad v \in \{\mathbf{tt}, \mathbf{ff}\}}{\Gamma, b \equiv v \vdash}$$

Extend conversion

$$\frac{\text{REFLECTION} \quad (b \equiv v) \in \Gamma}{\Gamma \vdash b \equiv v : \mathbb{B}}$$

$$\frac{\text{EXPLOSION} \quad (b \equiv \mathbf{tt}), (b \equiv \mathbf{ff}) \in \Gamma \quad \Gamma \vdash t, u : C}{\Gamma \vdash t \equiv u : C}$$

$$\frac{\text{COVER} \quad \Gamma \vdash b : \mathbb{B} \quad \Gamma, b \equiv \mathbf{tt} \vdash t \equiv u : C \quad \Gamma, b \equiv \mathbf{ff} \vdash t \equiv u : C}{\Gamma \vdash t \equiv u : C}$$

Decidability of Conversion ?

Conversion checking may require full normal forms, e.g.

$$f : \mathbb{B} \rightarrow \mathbb{B} \vdash f \circ f \circ f \equiv f : \mathbb{B} \rightarrow \mathbb{B}$$

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Neutral boolean terms cannot be normal: normal forms need some **intrinsic typing**.

~> Manageable with simple types, hard with dependency

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Neutral boolean terms cannot be normal: normal forms need some **intrinsic typing**.

↷ Manageable with simple types, hard with dependency

Solution: Kripke logical relations wrt renamings for (full) normalisation

- ▶ Normal/neutral forms are only stable by renamings, not arbitrary substitutions
- ▶ Collapse/Cover rules correspond to sheaf conditions

$$\emptyset \triangleright \Gamma, b \equiv \text{tt}, b \equiv \text{ff} \qquad \{(\Gamma, b \equiv \text{tt}), (\Gamma, b \equiv \text{ff})\} \triangleright \Gamma$$

- ▶ Hard to formalize explicitly already for simpler systems (e.g. MLTT with Π, Ty)

Apply **internal scoring** [BOCQUET, KAPOSI & SATTLER, 2023]

"**Synthetic** normalization proof for \mathcal{T} internal to $\text{Psh}(\mathcal{R}\text{en}(\mathcal{T}))$ "

Apply **internal scoping** [BOCQUET, KAPOSI & SATTLER, 2023]

"**Synthetic** normalization proof for \mathcal{T} internal to $\text{Psh}(\mathcal{R}\text{en}(\mathcal{T}))$ "

- ▶ Any (model of a) type theory \mathcal{T} induces a category of renamings
- ▶ $\text{Psh}(\mathcal{R}\text{en}(\mathcal{T}))$ host an incarnation of the initial model of \mathcal{T}
- ▶ A proof method: relative induction principle with respect to renamings
- ▶ Internal scoping provides the elimination scheme for relative induction

Playing with algebraic theories:

- ▶ Start from a second-order GAT $\Pi \mathbb{B}_{\text{SOGAT}}^{\text{ext}}$,
- ▶ Generate its first-ordification $\Pi \mathbb{B}_{\text{GAT}}^{\text{ext}}$

Juggling between models of

- ▶ a GAT internal to any Category with Family (CwF);
- ▶ a SOGAT inside any presheaf categories $\text{Psh}(C)$.

Any presheaf category is a Cwf, in particular Set is a Cwf.

A SOGAT $\Pi \mathbb{B}^{\text{ext}}$ SOGAT for Extensional Booleans

12

 $\text{ty} : \mathbf{Sort}$ $\mathbf{Bool} : \text{ty}$ $\text{tm} : \text{ty} \rightarrow \mathbf{Sort}$ $\text{force} : (b : \mathbf{tm Bool})(x : \mathbb{B}) \rightarrow \mathbf{Sort}$ $\text{true}, \text{false} : \mathbf{tm Bool}$ $\text{If} : (b : \mathbf{tm Bool})(A : \text{force } b \top \rightarrow \text{ty})(B : \text{force } b \perp \rightarrow \text{ty}) \rightarrow \text{ty}$ $\text{force/irr} : (b : \mathbf{tm Bool})(x : \mathbb{B})(t u : \text{force } b x) \rightarrow t \cong u$ $\text{force/collapse/ty} : (b : \mathbf{tm Bool})(A B : \text{ty})(h^\top : \text{force } b \top)(h^\perp : \text{force } b \perp) \rightarrow A \cong B$ $\text{force/collapse/tm} : (b : \mathbf{tm Bool})(A : \text{ty})(t u : \mathbf{tm } A)(h^\top : \text{force } b \top)(h^\perp : \text{force } b \perp) \rightarrow t \cong u$ $\text{force/true} : \text{force true } \top \quad \text{force/conv/true} : (b : \mathbf{tm Bool})(h : \text{force } b \top) \rightarrow b \cong \text{true}$ $\text{force/false} : \text{force false } \perp \quad \text{force/conv/false} : (b : \mathbf{tm Bool})(h : \text{force } b \perp) \rightarrow b \cong \text{false}$ $\text{If/true} : (A : \text{force true } \top \rightarrow \text{ty})(B : \text{force true } \perp \rightarrow \text{ty}) \rightarrow \text{If true } A B \cong A \text{ force/true}$ $\text{If/false} : (A : \text{force false } \top \rightarrow \text{ty})(B : \text{force false } \perp \rightarrow \text{ty}) \rightarrow \text{If false } A B \cong B \text{ force/false}$ $\text{If/eta} : (b : \mathbf{tm Bool})(A : \text{ty}) \rightarrow A \cong \text{If } b (\lambda h. A) (\lambda h. A)$

$\text{ctx} : \mathbf{Sort}$

$\text{ty} : (\Gamma : \text{ctx}) \rightarrow \mathbf{Sort}$

$\text{tm} : (\Gamma : \text{ctx})(A : \text{ty } \Gamma) \rightarrow \mathbf{Sort}$

$\text{sub} : \text{ctx} \rightarrow \text{ctx} \rightarrow \mathbf{Sort}$

$\mathbf{Bool} : \{\Gamma : \text{ctx}\} \rightarrow \text{ty}$

$\text{force} : (\Gamma : \text{ctx})(b : \text{tm } \Gamma \mathbf{Bool})(x : \mathbb{B}) \rightarrow \mathbf{Sort}$

$\varepsilon : \text{ctx}$

$-. : (\Gamma : \text{ctx})(A : \text{ty } \Gamma) \rightarrow \text{ctx}$

$-, - \stackrel{\text{def}}{=} -: (\Gamma : \text{ctx})(b : \text{tm } \Gamma \mathbf{Bool})(x : \mathbb{B}) \rightarrow \text{ctx}$

$\text{substTy} : \Gamma \Delta : \text{ctx}(\sigma : \text{sub } \Gamma \Delta)(A : \text{ty } \Delta) \rightarrow \text{ty } \Gamma$

$\text{If} : (\Gamma : \text{ctx})(b : \text{tm } \Gamma \mathbf{Bool})(A : \text{ty } (\Gamma, b \stackrel{\text{def}}{=} \top))(B : \text{ty } (\Gamma, b \stackrel{\text{def}}{=} \perp)) \rightarrow \text{ty } \Gamma$

Turning Coq into Psh($\mathcal{R}en(\prod_{\text{GAT}}^{\text{ext}})$)

- ▶ Based on the coq-observational branch of L. Pujet implementing ObsTT
- ▶ Axiomatize the structure specific to $\mathcal{R}en(\prod_{\text{GAT}}^{\text{ext}})$

```

Axiom Ty : Set.
Symbol Tm : Ty -> Set.

Symbol Var : Ty -> Set.
Symbol var : forall (A : Ty), Var A -> Tm A.

Symbol boolty : Ty.
Symbol cstbool : bool -> Tm boolty.
Definition force (b : Tm boolty) c := b ~ cstbool c.

Symbol ifty : forall (b : Tm boolty) (A : force b true -> Ty) (B : force b false -> Ty), Ty.
Rewrite Rule ifty_red :=
| ifty (cstbool true) ?A ?B >-> ?A (obseq_refl _)
| ifty (cstbool false) ?A ?B >-> ?B (obseq_refl _).

```

Manipulating sheaves following P.M. Pédrot

- ▶ Right orthogonality wrt to a family of strict propositions.
- ▶ Sheafification as a quotient-inductive type.

Synthetic Normalisation inside Coq

Manipulating sheaves following P.M. Pédrot

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Define intrinsically typed normal/neutral forms

- ▶ with quotient functions to terms/types,
- ▶ inducing a new topology based on booleans neutrals,
- ▶ and producing sheaves for that topology.
- ▶ An instance of a quotient inductive recursive type.

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- ▶ inducing a new topology based on booleans neutrals,
- ▶ and producing sheaves for that topology.
- ▶ An instance of a quotient inductive recursive type.

```
(* Normalization structure *)  
Record norm {A : Ty} := {  
  normPred : Tm A -> shU ;  
  quote : forall (a : Tm A), shEl (normPred a) -> fib nfToTm a ;  
  reflect : forall (a : ne A), shEl (normPred (neToTm a))  
}.
```

Conclusion

Current state:

- ▶ WIP synthetic proof of normalization for extensional booleans in Coq
- ▶ Rely on internal scoping and relative induction with respect to renamings
- ▶ Decidability of conversion through an explicit construction of sheafification

Future steps:

- ▶ What's ultimately assumed about the metatheory ? Choice principles for QITs ?
- ▶ Can we validate the axioms inside Coq using a prefascist translation ?
- ▶ Ongoing work on a toy normalization-by-evaluation typechecker in ocaml
- ▶ Correctness of the typechecker ?

Assuming a model \mathcal{M} of $\Pi\mathbb{B}_{\text{GAT}}^{\text{ext}}$, define $\mathcal{R}\text{en}(\mathcal{M})$

$$\varepsilon \in \text{Obj}(\mathcal{R}\text{en}(\mathcal{M}))$$

$$\langle \varepsilon \rangle = \varepsilon^{\mathcal{M}}$$

$$\frac{\Gamma \in \text{Obj}(\mathcal{R}\text{en}(\mathcal{M})) \quad A \in \text{ty}^{\mathcal{M}} \langle \Gamma \rangle}{\Gamma.A \in \text{Obj}(\mathcal{R}\text{en}(\mathcal{M}))}$$

$$\langle \Gamma.A \rangle = \langle \Gamma \rangle.^{\mathcal{M}}A$$

$$\frac{\Gamma \in \text{Obj}(\mathcal{R}\text{en}(\mathcal{M})) \quad b \in \text{tm}^{\mathcal{M}} \langle \Gamma \rangle \quad \text{Bool}^{\mathcal{M}} \quad x \in \mathbb{B}}{\Gamma, b \stackrel{\text{def}}{=} x \in \text{Obj}(\mathcal{R}\text{en}(\mathcal{M}))}$$

$$\langle \Gamma, b \stackrel{\text{def}}{=} x \rangle = \langle \Gamma \rangle, b \stackrel{\text{def}^{\mathcal{M}}}{=} x$$

Combining constructions on models of **(SO)GAT**

- ▶ **Internalization** of a f.o model \mathcal{M} as a s.o model $\mathbb{Int}(\mathcal{M})$ in $\text{Psh}(\mathcal{M})$
- ▶ **Contextualisation** of a s.o. model \mathbb{M} to a first order model $\mathcal{T}ele(\mathbb{M})$
- ▶ **Pullback** along a functor $F : \mathcal{C} \rightarrow \mathcal{D}$ of a f.o. model \mathcal{M} internal to $\text{Psh}(\mathcal{D})$ into $F^*\mathcal{M}$ internal to $\text{Psh}(\mathcal{C})$
- ▶ **Scoring** of a s.o. model \mathbb{M}^* displayed over a f.o. model \mathcal{M} into a f.o model $\pi : \text{Scone}(\mathbb{M}^*) \rightarrow \mathcal{M}$

A yoga of 1st and 2nd order models

Combining constructions on models of **(SO)GAT**

- ▶ **Internalization** of a f.o model \mathcal{M} as a s.o model $\text{Int}(\mathcal{M})$ in $\text{Psh}(\mathcal{M})$
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- ▶ **Pullback** along a functor $F : \mathcal{C} \rightarrow \mathcal{D}$ of a f.o. model \mathcal{M} internal to $\text{Psh}(\mathcal{D})$ into $F^*\mathcal{M}$ internal to $\text{Psh}(\mathcal{C})$
- ▶ **Scoring** of a s.o. model \mathbb{M}^* displayed over a f.o. model \mathcal{M} into a f.o model $\pi : \text{Score}(\mathbb{M}^*) \rightarrow \mathcal{M}$

Relative induction with respect to renamings:

- ▶ Building sections of $\pi : \text{Score}(\mathbb{M}^*) \rightarrow F^*\text{Tele}(\text{Int}(\mathbb{0}_{\Pi\mathbb{B}_{\text{GAT}}^{\text{ext}}}))$ where $F : \text{Ren}(\mathbb{0}_{\Pi\mathbb{B}_{\text{GAT}}^{\text{ext}}}) \rightarrow \mathbb{0}_{\Pi\mathbb{B}_{\text{GAT}}^{\text{ext}}}$